Diversified crop rotations enhance groundwater and economic sustainability of food production

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Abstract
Earth’s water resources are critical for supporting livelihoods and food security but are being increasingly overexploited to support global agriculture. Diversifying cropping systems could potentially resolve unsustainable water use but trade-offs with other aspects of sustainability and food security have not yet been assessed. We performed a detailed analysis of 31 different field crop rotations conducted during 1990–2019 in the North China Plain, to assess the potential impact of crop diversification on actual evapotranspiration ($ET_a$), changes in regional groundwater table, grain yield, economic output, and water use efficiency (WUE) and to identify configurations that can achieve co-benefits across multiple dimensions. We found that a combination of lowering the cropping index (i.e., harvest frequency), incorporating fallow periods, and introducing higher-value crops into the currently dominant winter wheat–summer maize double cropping system can reduce growing season $ET_a$ by as much as 31%, mitigate groundwater decline by 19% or more, and increased economic output and economic WUE by more than 11% and 3%, respectively. We also found that multiple diversified wheat-maize-based rotations—all with rotation lengths greater than 2 years—achieve co-benefits across all evaluated dimensions. This study provides new empirical evidence of the opportunities for diversified crop rotations to balance the multiple objectives of food production, sustainable groundwater use, and farmer profitability. Extending this solution to other water-stressed agricultural regions
could be an effective strategy in achieving more sustainable food production system globally.

**KEYWORDS**
crop productivity, diversified crop rotations, economic output, groundwater use, North China Plain

## 1 INTRODUCTION

Groundwater delivers water for nearly 2 billion people worldwide, supplies more than 40% of irrigation water, and enables 13% of total food production (de Graaf et al., 2019), by overcoming local rainfall limits. Groundwater use permits large-and small-scale growers to increase agricultural production (Davis et al., 2017). It provides increased food security globally and could lead to healthier diets with proper planning (Willett et al., 2019). Groundwater resources are frequently and increasingly overexploited in many important agricultural areas, including the California Central Valley, the High Plains aquifer in the US Midwest, the Middle East, the Indus, Ganges basins, and the North China Plain (NCP) (Famiglietti, 2014).

China has been effective in increasing food availability and decreasing poverty due mainly to the increased grain production in the NCP. As the breadbasket of China, the NCP accounts for 23% of China’s total grain production during the past four decades (National Bureau of Statistics of China, 2019a) (Figure 1a, Figure S1)—including 51% of the nation’s wheat, 35% of its maize, and a large fraction of other key crops such as peanuts and soybeans (National Bureau of Statistics of China, 2019a) (Figure 1b, Figure S2), and agriculture is responsible for 62–70% of the region’s water usage (China Water Resources Bulletin, 2019). The growing dominance of wheat and maize production in the NCP is in large part the result of China’s decades-long self-sufficiency initiatives to provide sufficient calories to the local people. In the 1950s, one rainfed grain crop was grown annually in this region, and the groundwater level remained close to the surface. The introduction of groundwater pumping for irrigation in the 1960s then enabled two crops per year—winter wheat (*Triticum aestivum*) and summer maize (*Zea mays*) (Luo et al., 2018). Since the 1980s, the intensive winter wheat–summer maize double cropping system (“wheat-maize” hereafter) has come to dominate the NCP, occupying 70% of the area’s arable land (National Bureau of Statistics of China, 2019a) (Figure 1c,d, Figure S3) and consuming 700–1000 mm year$^{-1}$ of water (Liu et al., 2001; Yang et al., 2019). Water consumption exceeds average annual precipitation (667 mm; range 391–986 mm) (Figure 1e), 60%–70% of which arrives during the summer (June to September) (Figure S4). Winter wheat itself—grown from early October to mid-June—requires 100–300 mm of groundwater-sourced irrigation to satisfy crop water demands beyond what is supplied seasonal rainfall (Sun et al., 2019; Yang et al., 2019). Overuse of irrigation is depleting groundwater throughout the region, as seen in Cangzhou, Hengshui, and Xingtai where rates of decline have reached 1.5–2.2 m year$^{-1}$ (China Water Resources Bulletin, 2019) (Figure 1f, Figure S5). These unsustainable trends have meant that the NCP now hosts the deepest groundwater cone of depression on the planet, surpassing India and the US High Plains (Pei et al., 2015), and resulting in other ecological problems, including land subsidence, salt intrusion, and deep earth fissures (Cao et al., 2013).

Strategies to mitigate this severe groundwater depletion are therefore urgently needed. Some agronomic measurements are already being implemented in the traditional winter wheat–summer maize system across the NCP including, conservation agronomic practices (e.g., optimized planting dates and planting density (Meng et al., 2017)), and technologies (e.g., mulching (Qin et al., 2015; Wang et al., 2018a; Zhang et al., 2003; Zhu et al., 2018) and irrigation scheduling (Xu et al., 2018), drip or micro-sprinkler irrigation (Li et al., 2018; Liu et al., 2013), and drought-resistant crop varieties (Zhang et al., 2010)) to improve water use efficiency. While these agronomic measures have certainly reduced the rate of groundwater drawdown, their effects are currently insufficient to halt the decline.

An alternative strategy yet unassessed at the regional scale is crop diversification, that is, altering rotations to include fewer or different and more nutritious crops than the standard continuous wheat-maize rotation. The well-planned crop substitutions include incorporating variations in the number of harvests per year (e.g., incorporating a single harvest of spring maize into wheat-maize rotations) (Chen et al., 2015; Gao et al., 2015; Guo, Tao, Sheng, et al., 2013; Liu et al., 2008; Meng et al., 2012; Sun et al., 2011), the food crop species involved in rotations (dual maize cropping (Meng et al., 2012; Zhou, 2016), winter wheat-based double cappings (Liu & Wang, 1994), and spring maize-based rotations (Wang, 2018b)), and the end use of the rotated crops (e.g., grain, cash, forage, or dedicated bioenergy) (Yang, Chen, Pacenka, Gao, Ma, et al., 2015; Yang et al., 2019). Combined with crop model, simulations have generally shown that alternative crop rotations can significantly reduce annual actual evapotranspiration ($ET_a$) compared to the conventional wheat-maize system (e.g., APSIM Chen et al., 2010; Luo et al., 2018; Van Oort et al., 2016; Sun et al., 2015; Sun et al.,
YANG et al., 2019; Xiao et al., 2017), WHCNS (Liang et al., 2019), and SWAP-WOFOST (Li & Ren, 2019)). However, most of these studies have stopped short of exploring the consequences for sustainable groundwater use, with only a few of the studies reporting net groundwater use (i.e., recharge minus irrigation) (Kendy et al., 2003; Li & Ren, 2019; Liang et al., 2019; Luo et al., 2018; Meng et al., 2012; Sun et al., 2011; Xiao et al., 2017; Zhang et al., 2004). Meanwhile, the potential benefits of alternative cropping systems for reversing groundwater depletion and achieving economic and food security co-benefits are also not broadly recognized and remain understudied.

To date, there has not been systematic evaluation synthesizing research on the relative effectiveness of diversified crop rotations on groundwater depletion and other sustainability challenges in the NCP. With the completion of numerous empirical studies on the relationship between diversified crop rotations and water use, there is a need to synthesize the findings to inform policy on options for improving sustainability. To address this knowledge gap, here, we use 30 years from 1990 to 2019 of empirical results to perform a detailed synthesis analysis to assess multiple outcomes of 31 diversified crop rotations tested in the NCP (Figure 2) and to compare their (a) water consumption ($ET_a$), groundwater depletion or gain, and WUE (water use efficiency, kg of product per m$^3$ of evapotranspiration water), (b) productivity (i.e., yield), and (c) economic output, all relative to the conventional winter wheat–summer maize system. By examining these multiple dimensions in parallel, we then examine whether certain alternative crop rotations offer multiple benefits in terms of water use, economic performance, and grain food security relative to current practices. The study provides essential information for utilizing crop rotations as an effective means to enhance the sustainability of food production across multiple outcomes and to minimize trade-offs.

FIGURE 1 Crop yield (a, b), planting area (c, d), and water resources (e, f) in the North China Plain over four decades

,2019; Xiao et al., 2017), WHCNS (Liang et al., 2019), and SWAP-WOFOST (Li & Ren, 2019)). However, most of these studies have stopped short of exploring the consequences for sustainable groundwater use, with only a few of the studies reporting net groundwater use (i.e., recharge minus irrigation) (Kendy et al., 2003; Li & Ren, 2019; Liang et al., 2019; Luo et al., 2018; Meng et al., 2012; Sun et al., 2011; Xiao et al., 2017; Zhang et al., 2004). Meanwhile, the potential benefits of alternative cropping systems for reversing groundwater depletion and achieving economic and food security co-benefits are also not broadly recognized and remain understudied.

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**FIGURE 2** Cropping system research sites in the North China Plain for the published reports used in this study.

**FIGURE 3** Schematic representation of the literature database compilation process in this study.
2 MATERIALS AND METHODS

2.1 Data selection

Publications related to ‘research on water consumption of cropping system in the North China Plain’ were intensively searched in four databases: CNKI (http://www.cnki.net/), WanFang DATABASE (http://g.wanfangdata.com.cn/index.html), Web of Science (http://apps.webofknowledge.com/), and the M.Sc. plus Ph.D. dissertation library in the China Agricultural University database. We used search terms including ‘North China Plain’ AND (‘crop rotation*’ OR ‘cropping system*’) AND (‘water’ OR ‘water use efficiency’ OR ‘irrigation’ OR ‘groundwater use’ OR ‘evapotranspiration OR ‘ET’) in the article topic, title, abstract, or keywords. The databases were searched over the period between January 1970 and December 2019. The search yielded 871 publications including English and Chinese publications. All duplicates were removed. When different sources reported the same experimental results, the priority of inclusion was English paper > Chinese paper > Chinese Dissertation. For each crop rotation, a field experiment was considered superior to the validated modeling scenarios.

Studies were selected when they met the following criteria (Figure 3):

1. Studies included data that focused on diversified crop rotations, including diversified multiple cropping systems (more than one harvest per year on average, the rotation cycle is more than 1), and mono-cropping (same single crop year after year, with one harvest per year, the rotation cycle year is one), and included a control case of the conventional winter wheat–summer maize (WS) double cropping system in the same publication. Publications focusing solely on the WS rotation, intercropping, or relay intercropping were excluded.

2. The crop rotations were carried out in the field experiments. In order to cover a greater number of potentially feasible crop rotations, we also included three crop rotations investigated using modeling, such as continuous summer maize cropping system (Sun et al., 2019; Van Oort et al., 2016; Xiao et al., 2017; Zhao et al., 2018), spring maize → spring maize → WS with four harvests in 3 years (Luo et al., 2018), and winter wheat–summer millet double cropping system (Sun et al., 2014).

3. The study needed to contain all three main water indicators in the growing season, viz. $\text{ET}_a$ (Actual evapotranspiration; not the crop potential evapotranspiration $\text{ET}_o$ calculated by Penman–Monteith formula), precipitation, and irrigation. In addition, they needed to report the yield of each crop. Values of the required quantities can be shown by each crop within the crop rotation, or as totals or averages over the whole crop rotation.

The data were extracted from tables or figures using GetData software (http://getdata-graph-digitizer.com/). After reading and filtering, 15 English publications and 13 Chinese papers, including 3 MSc theses and 3 PhD thesis, remained. Finally, we compiled 421 data records about 31 crop rotations from 28 references to compare the water consumption, inferred groundwater table change, crop yield, economic output, and water use efficiency of diversified crop rotations. Frequency of occurrence (data records) of 31 diversified crop rotations in the database is shown in Figure 4. This database covers all crop rotations which were attempted in research within the North China Plain during the past 30 years in field experiments or in validated crop models and for which the required quantities were reported in the publications.

The final database spanned 31 diversified cropping systems (detailed in Table S1): the conventional WS rotation with irrigation and rainfed treatments, six monocultures with both irrigation and rainfed treatments, two perennial crops (alfalfa and switchgrass with irrigation), ten double cropping systems with two harvests per year, six rotations comprising wheat-maize double cropping in one of the years, alternating with a year with one harvest (i.e., with three harvests in
2 years), and five multi-year cropping systems with rotation cycles longer than 2 years. The geographic region and locations where research data were collected and the corresponding literature are shown in Figure 2.

The dataset included the following variables: publication author and year, experiment site information (longitude, latitude, altitude, annual mean temperature, annual precipitation, soil texture, 0–20 cm soil organic matter, pH, total N, available N, available Olsen-P, available K and bulk density), crop rotation, rotation cycle year, cropping index, crop name(s), experimental year, water indicators in crop growth season (ETa, recharge, irrigation, and precipitation), crop yields, grain yields, biomass, price, economic output, water use efficiency (WUE), and economic WUE (Yuan m⁻³ of evapotranspiration).

In the whole analysis process as well as the underlying studies, the traditional irrigated WS rotation plays the role of a control (or reference) relative to the other 30 crop rotations. This does not presuppose a judgment about irrigated WS rotation, but merely reflects the role of irrigated WS rotation as the region’s dominant cropping system for decades.

Meteorological data, including precipitation, were obtained from the China meteorological data sharing service system (National Meteorological Information Centre, 2019) and http://www.nmic.cn/ (Figure 1e and Figure S4). Water resources data for both surface water and groundwater (Figure S7) were obtained from the annual China Water Resources Bulletin (China Water Resources Bulletin, 2019). Annual crop yield (Figures S1 and S2) and crop planting area (Figure S1 and S3) data came from the China Rural Statistical Yearbook (National Bureau of Statistics of China, 2019b).

2.2 | Calculations

2.2.1 | Actual evapotranspiration (ETa)

Total actual evapotranspiration (ETa) during the growing season of each crop was calculated using the soil water balance model and the following equation:

\[ ET_a = P + I + SWD - D - R + CR \]  \hspace{1cm} (1)

where \( ET_a \) is actual evapotranspiration (mm/growing season) of each crop, \( P \) is precipitation (mm/growing season), \( I \) is irrigation (mm/growing season), \( SWD \) is soil moisture storage at sowing date minus the value at harvest to a depth of 2 m in the soil profile, \( D \) is deep percolation (mm/growing season), \( R \) is runoff, and \( CR \) is capillary rise to the root zone. \( R \) is considered negligible due to the study region’s flatness, and \( CR \) is considered negligible in the region because the groundwater table is more than 30 m below the surface and soil water extraction will not occur below 2 m.

2.2.2 | Groundwater table decline inferred from net water use

We defined net groundwater depletion of each crop [NWD (mm/yr)] (Yang, Chen, Pacenka, Gao, Ma, et al., 2015; Yang et al., 2019) as follows:

\[ NWD = D - I \]  \hspace{1cm} (2)

where negative values of NWD indicate groundwater depletion (decreased storage in the aquifer) and positive values indicate increased aquifer storage. \( I \) is irrigation (mm/yr). \( D \) is deep percolation (mm/year), which is the original reported value in the literature. A few reports did not provide deep percolation data; in these cases, deep percolation was approximated as follows:

\[ D = \alpha \times (P + I) \]  \hspace{1cm} (3)

where \( D \) is deep percolation (mm), \( P \) is precipitation (mm), including the growing season and fallow period. If \( P \leq 90 \text{ mm} \), then \( \alpha \) is 0.1, if \( 90 \text{ mm} < P < 250 \text{ mm} \), then \( \alpha \) is 0.15, and if \( P \geq 250 \text{ mm} \) then \( \alpha \) is 0.2 (Sun et al., 2006). When \( D \) is recalculated using Equation 3, \( ET_a \) was deducted from \( D \).

Recharge of the groundwater table each year can be calculated from the percolation rate at 200 cm. The lag time to reach the groundwater table and the lateral movement of groundwater were not considered for the broad geographic area having uneven depletion. The net groundwater amount of each crop rotation was converted into groundwater table change (\( \Delta h \)), using the following equation:

\[ \Delta h = \frac{NWD}{\mu} \]  \hspace{1cm} (4)

where \( \Delta h \) is groundwater table change (m year⁻¹) during a cropping system, and NWD is net water depletion of the cropping system (mm year⁻¹), which was calculated as recharge by deep percolation minus the amount of groundwater used for irrigation. \( \mu \) is the specific yield set to 0.2 (Yang, Chen, Pacenka, Gao, Zhang, et al., 2015; Yang et al., 2019).

2.2.3 | Economic output, WUE, and EWUE

A realistic consideration of yield accounts for the differences in economic values between crop species. The economic output of each crop is expressed as within-year yield multiplied by the corresponding product price in inflation-adjusted Yuan minus costs. To eliminate inflation or deflation as a source of variability between the different cases in different years, we used the China National Consumer Price Index (CPI) to adjust crop prices and economic outputs.
covering all database years. Once adjusted, the economic output values became less dependent on year-by-year fluctuations in prices of inputs and yields. Since the database in this study started in 1992, we adopted the 1990 CPI as the baseline; thus, our economic values are expressed in 1990 Yuan. All CPI values from 1990 to 2018, sourced from the China Statistical Yearbook (National Bureau of Statistics of China, 2019a), are in Table S2. Crop prices were sourced from the China Yearbook of Agricultural Price Survey (Table S3).

Water use efficiency (WUE, kg of product per m³ of evapotranspiration water) is the ratio of yield to \( ET_a \). EWUE builds on WUE by including the economic output per m³ of evapotranspiration.

The economic output, WUE, and EWUE were calculated as follows:

\[
\text{Economic Output,} = \text{Yield,} \times \text{Price,} \times \frac{\text{CPI}_i}{\text{CPI}_{1990}} \tag{5}
\]

\[
\text{WUE} = \frac{\sum_{i=1}^{n} \text{Yield}}{\sum_{i=1}^{n} \text{ET}_a} \tag{6}
\]

\[
\text{EWUE} = \frac{\sum_{i=1}^{n} \text{Economic Output}}{\sum_{i=1}^{n} \text{ET}_a} \tag{7}
\]

where economic output is the crop within-year yield multiplied by its corresponding price for the indicated year minus crop production costs (Yuan ha⁻¹), \( i \) is one given year, CPI is the national Consumer Price Index, WUE is yield water use efficiency (kg m⁻³) of one crop rotation in one rotation cycle, \( ET_a \) is actual evapotranspiration (mm) of one crop in the growing season, \( \text{Yield} \) denotes economic yield of one crop (kg ha⁻¹), \( n \) is the sequence number of the crop in one rotation, and EWUE is economic output water use efficiency (Yuan m⁻³) of one crop rotation in one rotation cycle. For comparison: 1USD = 7.08 Yuan in June 2020. Crop prices for economic yield and cost taken from the literature when included. When not included, values from the China Yearbook of Agricultural Price Survey for crop type in the corresponding year were used. In both cases, the specific year price was adjusted for inflation using the ratio of CPI values for reference year and project year.

where Crop Harvests is the number of crop harvests within a complete crop rotation cycle, and Rotation Years is the number of years in that cropping system cycle. For example, the CI of the winter wheat–summer maize double cropping system is 2 (2 crop harvests/1 rotation year).

2.3 | Statistical analysis

Linear regression with mixed-effects models (Zuur et al., 2009) (function lme in R package nlme) was used to estimate the average values of yield, WUE, economic output, and EWUE and compare differences in these parameters in diversified crop rotations. We used publications and experiments within publications as random effects to account for differences among the studies (publications) and the experiments (sites \( \times \) years) within studies. The associations among water indicators (groundwater table decline, ET, irrigation, recharge, precipitation) and economic output, EWUE, crop diversity, cropping index, growing days, fallow days, and with or without rainfed, species combinations with and without wheat or maize were visualized using principal component analysis (PCA), using the vegan package in R (Oksanen et al., 2017; R Core Team, 2014). Principal component 1 (PC1) is mainly associated with cropping intensity, characterized by cropping index (average number of harvests per year), cropping days/year, fallow days/year, ET, irrigation amount/year, and ground water decline. Economic output has a high association with these “intensity” variables. Cropping systems with wheat are characterized by high water use, groundwater table decline, and high economic output. Principal component 2 (PC2) is associated with the presence of maize and with economic water use efficiency. Cropping systems with more maize tend to have higher economic water use efficiency and crop diversity as well as positive economic output in an absolute sense. The correlation matrix of these indicators was calculated and visualized using the Performance Analytics package in R (R Core Team, 2014).

3 | RESULTS

3.1 | Water consumption (\( ET_a \)) of diversified crop rotations

The conventional wheat-maize (WS) double cropping system had an average annual \( ET_a \) during the growing season of 733 mm across all years, crops, and sites (Figure 5, Table S1). Seven crop alternative rotations had equal or greater \( ET_a \) than the conventional WS. All of which, including WS, had intensive double cropping each year without fallow periods. A ryegrass–sorghum rotation for...
livestock forage produced more aboveground biomass than WS in a 6-year field experiment (Yang et al., 2019), at similar ETa (730 mm) and similar irrigation (375 mm), with 416 mm rainfall (Table S1). Five winter wheat-based double-crop rotations (Liu & Wang, 1994; Sun et al., 2014; Wang, 2011) had equal or greater ETa (730–823 mm) than the conventional WS. One spring maize-based rotation (spinach–spring maize) had an average ETa of 743 mm in a 3-year field experiment (Wang, 2018b) (Figure 5). Table S1 details the irrigation and rainfall information of all tested crop rotations.

Single cropping (rainfed or irrigated) and perennial crops had ETa values 21%–54% below that of WS. Three rainfed continuous cropping systems with a cropping index of one had ETa values <400 mm, half that of conventional WS: spring maize (Chen et al., 2015; Guo et al., 2013; Yan et al., 2016), spring peanut, and spring soybean (Liu & Wang, 1994) (Figure 5). The ETa of a rainfed WS in a 7-year field experiment was 460 mm (Chen et al., 2015). The ETa of perennial crops and single continuous cropping systems based on one crop per year under irrigation were all <600 mm. The ETa of perennial crops—alfalfa for livestock (Liu & Wang, 1994; Sun et al., 2014) and switchgrass for bioenergy (Yun et al., 2019)—were 488 mm and 504 mm, respectively. The ETa of single continuous cropping with irrigation, including winter wheat, cotton, or spring maize, ranged from 518–576 mm. Thus, reduction in ETa can be achieved by recuing water input with irrigation and decreasing cropping index.

The multiple WS-based rotations (sweet potato → cotton → sweet potato → WS with five harvests in 4 years, and ryegrass–cotton → peanut → WS with five harvests in 3 years, both over a 12-year field experiment (Yang, Chen, Pacenka, Gao, Ma, et al., 2015), and modeled spring maize → spring maize → WS (Luo et al., 2018)) had ETa 12%–23% below that of WS. Five WS-based rotations with three harvests in 2 years had ETa 10%–28% below WS (Figure 5). The grain-based rotation of spring maize → WS, which has received much attention in the last decade, had an average ETa of 547 mm across three experimental stations in Luancheng, Quzhou, and Wuqiao (Guo, Tao, Wang, et al., 2013; Meng et al., 2012; Wang, 2011).

The intensive double cropping systems (ryegrass–spring maize, ryegrass–cotton, ryegrass–sorghum→WS, and spring maize–summer maize dual cropping) had similar ETa values to WS, ranging from 694–705 mm (Figure 5).

In summary, 77% of diversified crop rotations had an average growing season ETa lower than the conventional wheat-maize double cropping system (Figure 5). Single-species continuous cropping systems with one harvest per year saw reductions in ETa between 21%–54%. The multiple wheat-maize-based rotations with rotation cycles greater than 2 years also experienced reductions in ETa between 10–28% (see Table S1 and Figure S6). However, rotation systems that maintained a double cropping strategy each year with or without winter wheat did not reduce ETa. The negative correlation between cropping index (harvest numbers/rotation year) and ETa implies that introducing a fallow period each year into intensive wheat-maize double cropping system in NCP reduces actual water consumption by 10%–54% (see Figure 5 inset).
3.2 | Effect of diversified crop rotations on the groundwater table

The annual groundwater table change of 31 crop rotations ranged from +0.43 to –1.3 m year⁻¹ (Figure 6). The vast majority (almost 90%) of these rotations led to reductions in groundwater table decline, compared to that of the conventional wheat–maize system (–1.04 m year⁻¹). Three single-species continuous cropping systems (rainfed) with one harvest per year caused the groundwater table to rise by +0.13 to +0.43 m year⁻¹. Roughly half of the 31 crop rotations reduced the groundwater table decline rate by 50%, achieving –0.31 to –0.52 m year⁻¹, against the conventional wheat–maize (Figure 6, Figure S7).

The rainfed winter wheat–summer maize rotation in Figure 6 showed a neutral groundwater table change, as reported in a 7-year field experiment at Luancheng (averaging 454 mm annual rainfall) (Chen et al., 2015). An APSIM simulation of rainfed wheat–maize using 1982–2013 meteorological data yielded a groundwater increase of +0.05 m year⁻¹ (Sun et al., 2019). In another APSIM study, a rainfed wheat–maize double cropping continuous cultivation system with 50% and 100% plastic film mulching between 1961 and 2011 in Hebei Province simulated a groundwater table rise of +0.30 to +0.40 m year⁻¹ (Van Oort et al., 2016). However, the rainfed wheat–maize yielded 44% less grain than irrigated WS (Van Oort et al., 2016). Simulated single continuous summer maize (Sun et al., 2014; Xiao et al., 2017) and spring maize→spring maize→wheat–maize rotation (Luo et al., 2018) with 580 mm rainfall and normal irrigation resulted in a negligible groundwater table change of –0.05 to –0.07 m year⁻¹.

Conventional wheat-maize with 470 mm rainfall and 330 mm irrigation had an average groundwater table decline of –1.04 m year⁻¹ (Figure 6)—this value was obtained by combining our 12-year field experiment (Yang, Chen, Pacenka, Gao, Ma, et al., 2015) with 3 years in Luancheng (Qin et al., 2018), 2 years in Wuqiao (Gao et al., 2015), and 2 years in Quzhou (Sun et al., 2011)—which is similar to modeling results of –1.13 to –1.60 m year⁻¹ over various periods (Chen et al., 2010; Kendy et al., 2004; Sun et al., 2019; Van Oort et al., 2016; Xiao et al., 2017).

Three single continuous cropping systems—spring maize, spring peanut, and spring soybean—with precipitation of 430 mm per growing season—caused the groundwater table to rise. Three other single continuous cropping systems—winter wheat, cotton, and spring maize (all irrigated)—had groundwater table change of –0.23 to –0.27 m year⁻¹, or at least 76% better than conventional wheat–maize (Figure 6).

Given NCP's abundant 4500–5300°C days of accumulated growing season temperatures, intensive double cropping of wheat-maize has dominated this area over the past 40 years, with its higher grain production for food security, but at the expense of groundwater (Liu & Chen, 2005). While single cropping systems per year mitigate groundwater table decline, they do not capitalize on the region's ample light and heat resources for crop production (Liu & Chen, 2005). Thus, water-saving crop rotations with a cropping index between 1.25 and 2 should be favored for sustainable groundwater use (Figure S7). The introduction of more profitable crops and a fallow period into the conventional wheat-maize rotation offers a trade-off for groundwater and grain security.
3.3  Yield, economic output, and water use efficiencies of diversified crop rotations

3.3.1  Grain-based crop rotations

Annual grain yields and WUE values (Figure 7a), and annual economic output (inflation-adjusted Yuan ha$^{-1}$), and economic water use efficiency (EWUE) (Yuan m$^{-3}$ of evapotranspiration) (Figure 7b) of eight grain-based rotations provide useful insights to crop output. The annual grain yield and WUE of conventional winter wheat–summer maize rotation were 14.1 t ha$^{-1}$ and 1.95 kg m$^{-3}$, with annual economic output and EWUE of 8.0 $\times$ 10$^3$ Yuan ha$^{-1}$ and 1.13 Yuan m$^{-3}$ (Figure 7a, b).

Only the spring maize–summer maize dual rotation per year produced higher annual grain yields (15.9 t ha$^{-1}$, 12% more) than conventional wheat-maize ($p > 0.05$) (Table S4) because spring maize produced 50% more grain yield than winter wheat (Meng et al., 2017; Zhou, 2016). The higher photosynthesis and stomatal resistance of spring maize (C4 crop) than winter wheat (C3 crop) ensure higher use efficiencies of light, water, and nitrogen (Sun et al., 2019). The spring maize–summer maize rotation had 24% higher WUE than conventional wheat-maize (Figure 7a). Winter wheat needs 225 mm irrigation during the dry growing season which is 33% more than spring maize.

Meanwhile, the spring maize–summer maize rotation had 27% significantly higher economic output ($p < 0.05$) and 38% higher EWUE than conventional wheat-maize. The water needs of spring maize and summer maize almost matched the growing season rainfall in this region, saving ~75 mm of irrigation over winter wheat in winter wheat–summer maize rotation and decreasing seasonal $E_{Tr}$. Although the dual maize rotation performed better than winter wheat–summer maize for yield and economic output, the need for sufficient heat resources, suitable varieties, and matched harvest times limit its adaptability and generalization in much of the NCP.

The spring maize → winter wheat–summer maize rotation (three harvests in 2 years) produced 25% lower grain yields (10.6 t ha$^{-1}$) and 16% lower annual economic output than conventional wheat-maize ($p < 0.05$). Despite a 25% reduction in grain yield and 16% reduction in economic output due to reduced planting frequency of winter wheat once every 2 years, the spring maize → winter wheat–summer maize rotation had similar WUE and EWUE to conventional WS, reducing $E_{Tr}$ by 20% (Figure 5) and mitigating 61% of groundwater table decline (Figure 6).

The other five grain-based cropping systems—WS rainfed, spring maize rainfed, and three single continuous crops of spring maize, summer maize, and winter wheat—had 36%–57% lower yields and 44%–63% lower economic outputs than conventional wheat-maize ($p < 0.05$), with no significant differences in WUE and EWUE (Table S4). WS rainfed saved ~300 mm of irrigation water but produced 40% lower grain yield, 54% lower economic output and 7% lower WUE, and 34% lower EWUE than wheat-maize with irrigation at 300–330 mm year$^{-1}$ ($p < 0.05$) (Guo, Tao, Wang, et al., 2013; Sun et al., 2011; Yang, Chen, Pacenka, Gao, Ma, et al., 2015; Yang et al., 2019). Continuous winter wheat had the lowest yield, economic output, and WUE, followed by continuous spring maize rainfed, WS rainfed, and continuous summer maize. Continuous summer maize had 8% higher WUE and similar EWUE compared to wheat-maize (Figure 7a, b).

Single cropping systems performed better than conventional winter wheat–summer maize for mitigating groundwater table decline, but soil water evaporates unproductively and agriculturally favored light and heat resources are wasted during a long fallow period each year. Single cropping systems produced 36%–70% lower net economic outputs per year than conventional wheat-maize.

3.3.2  Other diversified crop rotations

The full set of 31 diversified crop rotations included crops from different families and genera, with different production objectives and value chains. Because the yields and derived WUE of 23 of these crop combinations were not comparable to strictly grain-based rotations, these dimensions were not assessed for all rotations. On the other hand, economic output and EWUE could be expressed for all rotations (Figure 8).

Half of the diversified crop rotations had higher annual averaged economic outputs than conventional wheat-maize (8.0 $\times$ 10$^3$ Yuan ha$^{-1}$). The sweet potato → cotton → sweet potato→wheat-maize rotation, with five harvests in 4 years, had the highest economic output (19.5 $\times$ 10$^3$ Yuan ha$^{-1}$) and EWUE (4.22 Yuan m$^{-3}$), being 1.4 and 2.7 times significantly higher, respectively, than WS ($p < 0.05$) (Table S5). The spinach–spring maize, ryegrass–cotton, and ryegrass–cotton → peanut → WS rotations produced 58%–77% higher economic outputs than wheat-maize. Spinach (an edible vegetable) and cotton (cash crop), both have higher prices and economic outputs than winter wheat and maize, which contributed strongly to the economic output of their rotations (Wang et al., 2018a; Yang, Chen, Pacenka, Gao, Ma, et al., 2015). The EWUEs of the above crop rotations were at least 69% higher than wheat-maize.

The spring peanut → winter wheat–summer maize rotation, continuous cotton, and spring cotton → wheat-maize rotation had 21%–31% higher economic outputs, due to the higher prices for peanut and cotton (Yang, Chen, Pacenka, Gao, Ma, et al., 2015), and 52%–89% higher EWUE than wheat-maize. Two sorghum-containing rotations (ryegrass–sorghum → WS and ryegrass–sorghum rotations) surpassed the economic output of WS by 11%–19% because sorghum...
YANG et al. (bioenergy crop) was harvested for its entire aboveground biomass (44.6 t ha\(^{-1}\)), aiding the 32%–52% higher EWUE than winter wheat–summer maize.

The winter wheat-based double cropping systems per year—with summer cotton, peanut, soybean, or sweet potato—had similar economic outputs (7.6–8.4 \(\times 10^3\) Yuan ha\(^{-1}\)) to wheat-maize but 16%–35% lower EWUE values due to their higher water use. This demonstrates that retaining winter wheat in a double-crop rotation each year in the NCP does not balance economic outputs with groundwater sustainability. In
contrast, the wheat-maize-based multiple crop rotations with three harvests in 2 years (spring soybean → wheat-maize rotation and sweet potato → wheat-maize rotation) had similar economic outputs (7.5–7.9 × 10³ Yuan ha⁻¹) to WS, but 40%–61% higher EWUE. The ryegrass–spring maize double rotation had a similar economic output and EWUE to wheat-maize.

Alternative rotations with perennial crops (i.e., alfalfa and switchgrass) or with a single continuous rainfed crop (i.e., spring peanut and spring soybean) had 36%–70% lower annual economic outputs and 17%–61% lower EWUE than the conventional wheat-maize system, indicating that these rotations are likely not good candidates for future diversified systems.

In summary, 16 of the 31 diversified crop rotations resulted in increased economic output, that 15 rotations had higher EWUE, and that 11 rotations saw improvements across both dimensions relative to the conventional wheat-maize double cropping system (Figure 8). In particular, multi-year cropping systems with rotation cycles longer than 2 years and intensive non-winter wheat-based double cropping in 1 year increased annual average economic outputs and EWUEs by at least 11% and 3%, respectively. In addition, some wheat-maize-based rotations that included high-value crops such as cotton and peanuts increased economic output and EWUE by as much as 13% and 40%, respectively, relative to conventional wheat-maize (Figure 8; Figure S8). Winter wheat-based double cropping systems in 1 year had a similar economic output to conventional WS but a 26% lower EWUE. The perennial crop and single and rainfed cropping systems had no advantage in economic output over conventional wheat-maize (Figure 8; Figure S8). Therefore, wheat-maize-based multiple crop systems involving cash crops (cotton, peanut, sweet potato, and soybean) had better economic outputs and EWUEs, also bringing more product diversity than conventional wheat-maize. These findings point to a suite of economically viable options for improving crop rotations. Future development of more efficient, groundwater-sustainable cropping systems should consider historical patterns of land use, cropping intensity, and irrigation practices.

3.4 | Co-benefit and trade-offs of diversified crop rotations across multiple dimensions

Economic output, grain production per year when grain is grown, and groundwater decline all improved for certain multi-year crop rotations with a cropping index ranging between 1.25 to 1.67 (Figure 9). These crop rotations were consistently characterized by periodic fallowing, rotation cycles of more than 2 years, and the incorporation of high-value crops into the wheat-maize cycle, such as cotton, peanut, and sweet potato (e.g., sweet potato → cotton → sweet potato → wheat-maize rotation with five harvests in 4 years; ryegrass–cotton → peanut → wheat-maize rotation with five harvests in 3 years; spring peanut → wheat-maize rotation and spring cotton → wheat-maize rotation both with three harvests in 2 years). All of these diversified rotations achieved simultaneous benefits for economic output, grain production per year in which grain was grown, and groundwater decline (Figure 9). Specifically, they reduced $ET_c$ by 10%–23%, mitigated groundwater decline by 19%–62%, improved economic output by 20%–143%, and increased annual average grain yield from wheat and maize within the wheat-maize years by 12%–22%.

Lastly, we performed a principal component analysis (PCA) to examine the relationships between the configuration of crop rotations and environmental and economic outcomes (Figure 10). Systems with more wheat were associated with higher irrigation water input and greater groundwater decline, while systems with more maize were neutral in this regard. Economic output was not strongly related to irrigation water input or groundwater decline. Increasing the number of fallowing days and decreasing the cropping index (i.e., lower harvest frequency) were both associated with reduced groundwater declines (Figure 11). Together with the comparison of the multi-dimensional outcomes of alternative crop rotations, these findings confirm a pattern in which diversified crop rotations with fallow periods, a lower cropping index, and high-value crops offer the opportunity to simultaneously reduce groundwater decline, improve economic outcomes, and increase food production—all of which are essential for achieving more sustainable agriculture in the NCP.
DISCUSSION

4.1 | Toward more sustainable cropping systems

Our analysis indicates that diversifying crop rotations offers major opportunities to reduce trends of unsustainable water use in North China Plain, while keeping grain output and economic profitability at sustainable levels and greatly increasing the economic water use efficiency. Diversification of crop rotations should therefore be considered in national policymaking for food, agricultural, water, and health (Tao et al., 2020). In particular, this study identified key features of diversified cropping patterns—reducing cropping index, introducing fallow periods, and incorporating alternative high-value crops into the conventional and intensive wheat-maize double cropping system—that can help to minimize the trade-off between groundwater depletion and food production while also improving economic outcomes. While previous studies have investigated individual aspects of crop production and groundwater in the NCP (Kendy et al., 2004; Meng et al., 2017; Qin et al., 2018; Sun et al., 2014; Wang, 2011; Yan et al., 2016; Yang, 2015c; Yang, Chen, Pacenka, Gao, Ma, et al., 2015; Yang et al., 2019), our findings provide an integrated understanding of the outcomes of alternative cropping patterns across groundwater, economic, and food production dimensions. Such an approach can be readily applied to other agriculturally important world regions to investigate the opportunities for diversified cropping rotations to achieve more sustainable production systems.

This study identified that reducing the cropping index—ranging from 2 for the intensive wheat-maize system to 1 for single cropping systems—introducing fallow periods, and alternating complementary crop types between intensive wheat-maize rotations can help to balance groundwater drawdown, food production, and the economic output of agriculture in the NCP. Indeed, previous studies focused on the NCP have indicated that adopting a fallow season could mitigate declining groundwater levels while maintaining yield (Liang et al., 2019; Luo et al., 2018; Van Oort et al., 2016). The efficiency of soil water accumulating during fallows and availability of that soil water for use by crops are key drivers of farming system productivity and profitability. Using fallows to accumulate soil water to buffer subsequent crops against the highly variable climate is critical in northern grain production systems (Bell et al., 2019). In a 12-year field experiment, $ET_a$ during the fallow period from October to April of the next year accounted for about 7–10% of annual water consumption (Yang, 2015c; Yang et al., 2019). Modified wheat-maize–based rotations with three harvests in 2 years, single-species continuous cropping, and perennial crops reduced annual $ET_a$ by 10%–54% compared to conventional wheat-maize. Single-species continuous cropping system reduced the groundwater use per year, but they do not take full advantage of the region's abundant light and heat at the cost of reducing food production (Liu & Chen, 2005). The spring maize–summer maize rotation had higher grain yield, WUE, economic output, and EWUE than conventional winter wheat–summer maize. But this cropping system requires high accumulated temperatures, heat resources for grain filling, and an early maturing spring maize variety, which primarily occurs and is limited in the southern Hebei Province (Meng et al., 2017). Expanding this system northward would require adaptations to sowing date, plant density, and variety (Zhou, 2016). Besides, omitting winter wheat in this double maize rotation would impact national self-sufficiency in the diet (Cui & Shoemaker, 2018). Wheat is second to rice as a source of calories in developing countries and first as a source of protein (Braun et al., 2010). Wheat currently provided 20%
of the daily protein and of food calories for 4.5 billion people (Shewry & Hey, 2015). Wheat production is crucial for NCP and China diets, whereas maize is mainly used for livestock feed (Yun et al., 2019). The dual maize rotation increases the maize planting frequency, which forces much better coordination between crops and livestock to harvest for silage in spring and grain in summer (Yun et al., 2019). Double maize is an unlikely candidate for a new dominant cropping system for the whole NCP. The spring maize → winter wheat → summer maize rotation with three harvests in 2 years by retaining winter wheat has more promise for saving groundwater and diet sufficiency than the double maize rotation; it is worth considering the spring maize → winter wheat → summer maize rotation as superior to WS for the future.

The mismatch between precipitation and crop water requirements of wheat-maize system—especially for winter wheat—has resulted in a groundwater table decline of 1.04 m year−1. Our PCA analysis identified the cultivation of winter wheat as the primary factor contributing to groundwater table decline. As a result, the conventional wheat-maize system in the NCP is being increasingly challenged by the overdraft of water resources and climate change (Wang et al., 2008; Zhao et al., 2018). Compared to the conventional wheat-maize system, we found that 90% of the 31 rotations reduced rates of groundwater depletion, with nearly half of all alternative rotations mitigating groundwater table decline by 50% or more. Indeed, some studies have suggested reducing the winter wheat planted area by up to 76% to decrease water demands from groundwater (Gao et al., 2015; Liang et al., 2019; Meng et al., 2012; Wang et al., 2008; Xiao et al., 2017). However, the NCP produces 51% China’s wheat (National Bureau of Statistics of China, 2019b), and China strives to be self-sufficient in grains (Cui & Shoemaker, 2018). Therefore, reductions in wheat planting area need to be considered in the context of national grain security (Cui & Shoemaker, 2018; Liang et al., 2019). Diversified crop rotations of this study demonstrate opportunities to continue to include wheat in multi-year wheat-maize-based crop rotations while simultaneously reducing the rate of groundwater depletion, maintaining grain yields, and increasing economic outputs through the periodic incorporation of higher-value crops (Castellazzi et al., 2008). The benefits will be reinforced by developing the sustainable wheat cropping systems in which novel wheat genotypes and agronomy management must be integrated to improve soil, water, and air quality, and ensure profitability and economic security for farmers (Hunt, 2017). Kirkegaard and Hunt (2010) reported that increases in Australian wheat production have come when genotype (G) and management (M) technologies synergies to form coherent farming systems. The combination of new winter wheat genotypes with earlier establishment has the potential to deliver a new water-limited potential yield increase due to the greater rooting depth and deep soil water extraction (Flohr et al., 2020). Future climates may favor the early sowing × slow-developing cultivar × fallow management synergy for winter wheat production (Hunt et al., 2019).
Coordinated reconfiguration of cropping patterns across regions can also serve as an effective complement to diversified cropping rotations by ensuring that national production of certain crops is not reduced (Davis et al., 2017). Meanwhile, the more sustainably diversified crop rotations should include the more efficient and nutritional crop, like C4 species. The stomata of C4 crops are much responsive to environmental changes than C3 species, along with the greater potential productivity and greater water use efficiency of C4 species (Akita & Moss, 1972). A healthy diet should increase plant proteins (e.g., grain legumes) and include plant oils, fruits, and vegetables. Poor diets pose a greater risk to morbidity and mortality than other unhealthy habits combined (Willett et al., 2019). Adjusting the diet with more nutritious crops from the diversified crop rotations can feed the population using less water and land. Except the benefit of the diversified crop rotation to less groundwater use and maintain food production, it has the potential promoting the radical transformation of the regional or national food system.

In addition, combining alternative cropping systems combined with the updated integrated water-saving technologies including agronomic, biological, and engineering practices can serve to enhance water savings (Gan et al., 2013; Li et al., 2018; Liu et al., 2013; Meng et al., 2017; Qin et al., 2015; Xu et al., 2018; Zhang et al., 2010). Simultaneously, future development of more efficient, groundwater-sustainable cropping systems should also consider historical patterns of land use, cropping intensity, irrigation practices and self-sufficiency of food production, and a healthy diet to improve human health.

### 4.2 Prospects for crop reconfiguration, external water input, and policymaking

Coordinated policy solutions that integrate multiple complementary strategies will be essential for moderating (or eliminating) trade-offs in the NCP, a region which plays a central role in meeting grain production targets and providing healthier diets for all of China. Our study finds that achieving more sustainable crop configurations in the NCP will require greater crop diversity—including field crops and horticultural crops—the outcomes of which should be quantified in terms of groundwater use, yield, economic profitability, energy, and nutritional value, according to Tilman and Clark (2014). These multiple metrics can be blended in a Pareto-optimality analysis (or other multi-objective balancing approaches) to uncover optimal crop choices while also ensuring that national grain production is not compromised (Ditzler et al., 2019). Other future work is required to understand the consequences of groundwater neutral cropping systems on regional food production and the reasonable allocation of national water resources. Systematic regional groundwater tracking and forecasting models tailored to the NCP should be developed to evaluate groundwater table dynamics, considering possible lateral recharge and spatial heterogeneity of the aquifer (Yang, Chen, Pacenka, Gao, Ma, et al., 2015).

Addressing these research needs will be essential for evaluating current and planned water resource policies intended to address groundwater depletion in the NCP. One possibility is to physically import water to the region. China’s South-North Water Transfer (SNWT) project aims to divert water from the Yangtze River in the south to drier north regions including Beijing and its surroundings, and the central route passes through the NCP (Kattel et al., 2019). The first phase of the SNWT project was finished in 2014; the total volume of water projected to be passed through the NCP is 7.0–7.5 billion m$^3$ year$^{-1}$—mainly for urban domestic use, including ~1.2 billion m$^3$ year$^{-1}$ to Beijing, ~1.0 billion m$^3$ year$^{-1}$ to Tianjin, and ~3.0 billion m$^3$ year$^{-1}$ to Hebei province (Liang et al., 2019; Van Oort et al., 2016). While external water importation to the NCP is far beyond the scope of this study, it has been estimated that SNWT needs to provide at least 50% of the total amount of irrigation water used in current wheat-maize rotations to prevent groundwater table decline and maintain crop yields (Liang et al., 2019; Van Oort et al., 2016). This physical import of water to mitigate groundwater depletion can also be complemented by virtual water imports, as the virtual water embodied in exported agricultural goods has placed significant pressure on water resources in the NCP (Zhang et al., 2017). Planning for virtual water trading between regions can help to ensure more sustainable usage of water resources. These and other strategies—including diversified cropping patterns—can all be incorporated into a comprehensive sustainable food production strategy for the NCP and other regions of the world where groundwater is used for irrigation.

### 5 Conclusion

This study conducted an integrated analysis of the outcomes of diversified cropping systems for the multiple dimensions of groundwater use, economic output, and food production. Wheat-maize-based multiple cropping systems with rotation cycles of more than 2 years, a cropping index lower than 2, periodic fallowing, and incorporation of high-value crops decreased $ET_{sw}$ by ~31%, mitigated groundwater table decline by 19%–67%, and increased annual averaged economic outputs and EWUEs by at least 11% and 3%, respectively, relative to conventional wheat-maize double cropping. These results point to the possibility of benefits across multiple dimensions including increased food production, more sustainable groundwater use, and improved farmer benefits in the NCP when more diversified cropping systems are adopted. The study provides strong empirical evidence for diversified crop
rotations to achieve more sustainable production systems in the North China Plain and promising insights for similar solutions in other agriculturally important areas in the world.

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CONFLICT OF INTEREST
The authors declare no conflict of interest.

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